

EPIQ Capital Group is an investment adviser registered with the Securities and Exchange Commission offering investment advisory services. This document provides you with a summary of our relationship with you. Investment advisory and brokerage services and fees differ, and it is important to understand the differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?

Our goal is to help you preserve and grow your capital while assisting with the management of financial, legal, tax and personal complexities that arise in connection with your wealth. Our investment advisory services include asset allocation, portfolio construction and comprehensive portfolio management. We meet with you to gain understanding your current financial situation, existing resources, financial goals, and tolerance for risk. We then work with you to construct a portfolio and manage investments consistent with your asset allocation objectives. We do not limit the types of investments that we recommend and your investments may consist of stocks, bonds, ETFs, options, mutual funds, private funds (including venture capital, private equity and private credit), and other public and private securities and investments. We regularly review your portfolio. We utilize the sub-advisory services of third-party investment advisory firms (“Portfolio Managers”) to facilitate certain strategies within your portfolio.

We also recommend direct investments in private securities or other pooled vehicles through our special purpose vehicles. With your approval, we may manage portions of your portfolio on a discretionary basis. However, generally you will make the ultimate decision with respect to engagement of Portfolio Managers and investments made within your portfolio. We may also provide you with planning and consulting services related to the management of your financial resources. Such services and projects may relate to investment planning, retirement planning, estate planning, charitable planning, education planning, corporate and personal tax planning, asset purchases, real estate analysis, mortgage/debt acquisition, and insurance acquisition. We do not have a minimum account size however we generally work with clients who have a minimum of \$50 million in investable assets. Please also see our Form ADV Part 2A (our “[Brochure](#)”), specifically Items 4 and 7.

▶ **Ask us:** *Given my financial situation, should I choose an investment advisory service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What fees will I pay?

Our fee schedules are negotiated on a client-by-client basis. Advisory fees are generally tiered up to 1.5% annually of assets under our management. Fees are charged quarterly in arrears and generally deducted from client accounts. Sometimes, we negotiate and charge other types of fees to clients. The more assets you have under our management, the more you will pay us in fees and therefore we may have an incentive to encourage you to increase those assets. With your agreement in advance, we may also charge a performance-based fee for certain investments through firm-sponsored investment vehicles. You pay us advisory fees even if you do not have any transactions and the advisory fee paid to us generally does not

vary based on the type of investments held in your portfolio. In addition to the fees we charge, you can expect to pay fees and expenses to other service providers—such as Portfolio Managers, custodians, broker dealers, fund managers, operating partners, accounting firms, administration firms, and tax preparers—in connection with the management of your investments. Please also see Items 4, 5, 6, 7 and 8 of our [Brochure](#). You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

▶ **Ask us:** *Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser?

How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we must act in your best interest and not subrogate your interest to ours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means. For performance-based fee arrangements, we make more money the better your Investment

returns are, so we have an incentive to recommend riskier investments due to the potential for higher returns. Additionally, our personnel also invest in limited investment opportunities, which may create a conflict of interest in connection with allocation of such investments (see Item 11 of our [Brochure](#)). However, we do not receive payments from third parties, nor do we participate in revenue sharing arrangements or trade with clients on a principal-basis without a client's prior written consent.

▶ **Ask us:** *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

We pay our personnel a salary and bonus based on individual performance. We may also pay our personnel a portion of the performance-based fees that we receive upon exiting a successful investment where such fees are charged. Our financial professionals are not compensated

based on the amount of assets managed, the time or complexity to meet client needs, or the amount of revenue the firm earns because of their services; nor do they sell products or receive commissions.

Do you or your financial professionals have legal or disciplinary history?

No. Visit [Investor.gov/CRS](https://investor.gov/CRS) for a free and simple search tool to research us and our financial professionals.

▶ **Ask us:** *As a financial professional, do you have any disciplinary history? For what conduct?*

Where can I find additional information?

Visit adviserinfo.sec.gov/firm/summary/292526 for more information about us, including a copy of our latest [Brochure](#) and this relationship summary. To request up-to-date information or a copy of this relationship summary, contact us at **(415) 684-7081**.

▶ **Ask us:** *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*